

# A FRAMEWORK FOR DEFINING COMPUTATIONAL HIGHER-ORDER LOGICS

---

Ali Assaf

September 28, 2015

École Polytechnique & Inria Paris

# PROOF SYSTEMS

4-color theorem



# PROOF SYSTEMS

4-color theorem



Coq

# PROOF SYSTEMS

4-color theorem



Kepler conjecture



Coq

# PROOF SYSTEMS

4-color theorem



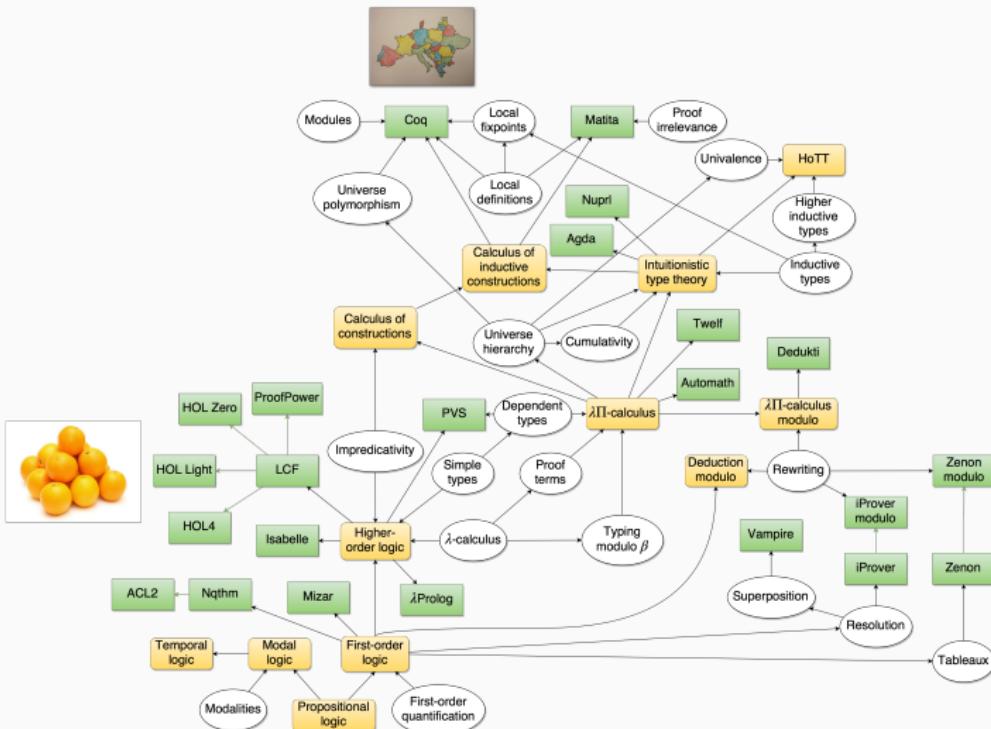
Kepler conjecture



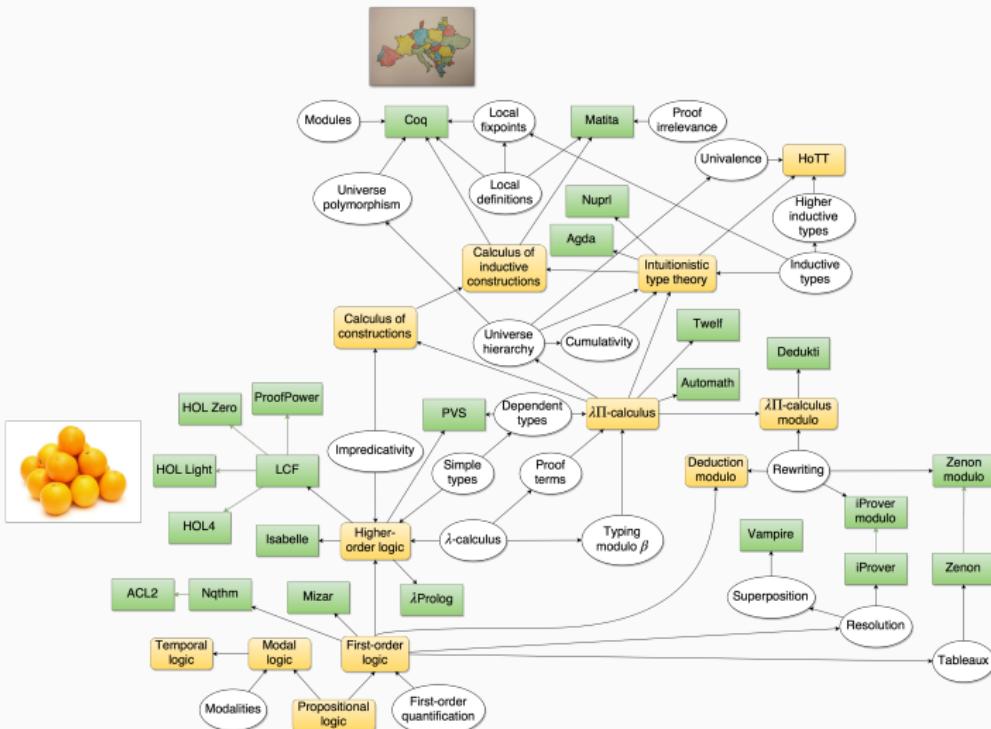
Coq

HOL Light

# PROOF SYSTEMS

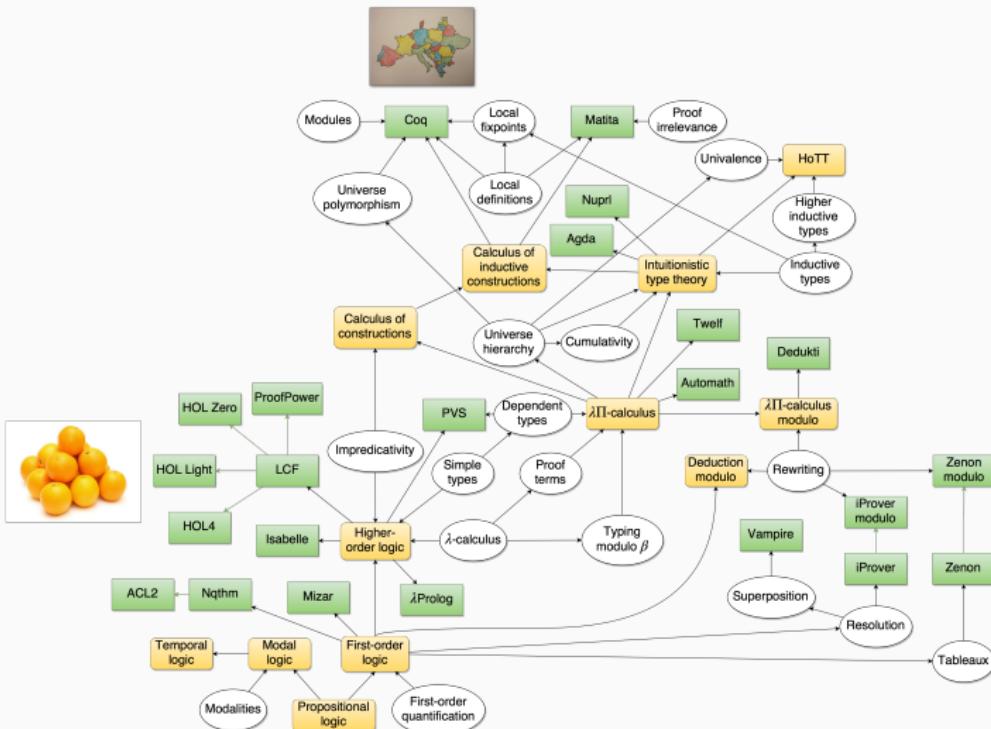


# PROOF SYSTEMS



Independent proof checking?

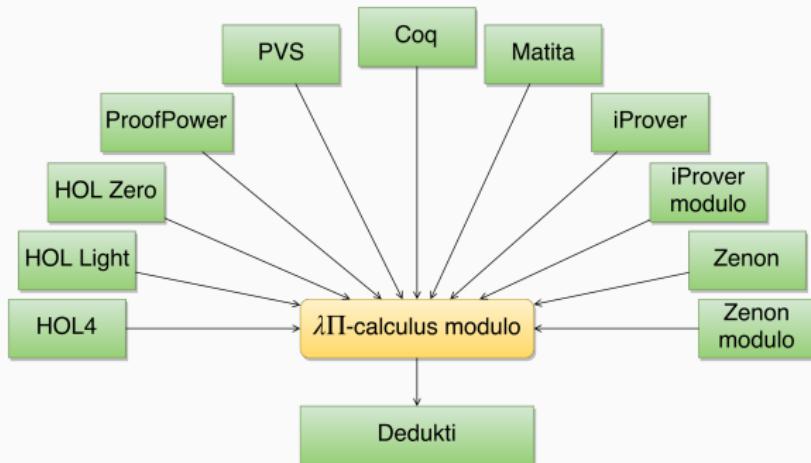
# PROOF SYSTEMS



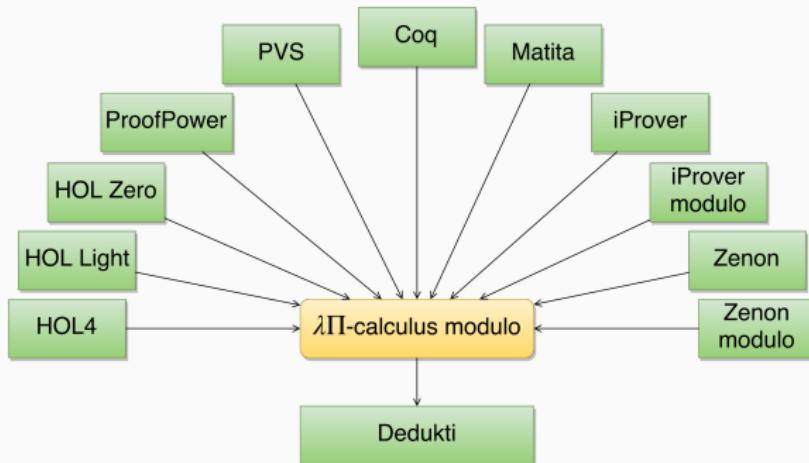
Independent proof checking?

Proof interoperability?

# LOGICAL FRAMEWORK



# LOGICAL FRAMEWORK



- Is it **trustworthy**?
- Is it **expressive**?
- Is it **efficient**?

## LOGICAL FRAMEWORKS

$$\Gamma \vdash_{\mathcal{L}} A \iff \Sigma_{\mathcal{L}}, [\![\Gamma]\!] \vdash [\![A]\!]$$

- First-order logic (FOL) [Hilbert and Ackermann 1928]
  - $\Sigma = f : n_f, \dots, p : n_p, \dots, A, \dots$

## LOGICAL FRAMEWORKS

$$\Gamma \vdash_{\mathcal{L}} A \iff \Sigma_{\mathcal{L}}, [\![\Gamma]\!] \vdash [\![A]\!]$$

- First-order logic (FOL) [Hilbert and Ackermann 1928]
- Higher-order logic (HOL) [Church 1940]
  - Binders using simply-typed  $\lambda$ -calculus
  - $\Sigma = f : \tau, \dots, A, \dots$
  - Used in ISABELLE,  $\lambda$ PROLOG

# LOGICAL FRAMEWORKS

$$\Gamma \vdash_{\mathcal{L}} A \iff \Sigma_{\mathcal{L}}, [\![\Gamma]\!] \vdash M : [\![A]\!]$$

- First-order logic (FOL) [Hilbert and Ackermann 1928]
- Higher-order logic (HOL) [Church 1940]
- $\lambda\Pi$ -calculus (LF,  $\lambda\Pi$ ,  $\lambda P$ ) [Harper et al. 1987]
  - Proof terms using dependently-typed  $\lambda$ -calculus
  - $\Sigma = f : A, \dots$
  - Used in AUTOMATH, TWELF

## LOGICAL FRAMEWORKS

$$\Gamma \vdash_{\mathcal{L}} A \iff \Sigma_{\mathcal{L}}, [\![\Gamma]\!] \vdash M : [\![A]\!]$$

- First-order logic (FOL) [Hilbert and Ackermann 1928]
- Higher-order logic (HOL) [Church 1940]
- $\lambda\Pi$ -calculus (LF,  $\lambda\Pi$ ,  $\lambda P$ ) [Harper et al. 1987]
- $\lambda\Pi$ -calculus modulo ( $\lambda\Pi R$ ) [Cousineau and Dowek 2007]
  - Computation using rewriting
  - $\Sigma = f : A, \dots, f\vec{M} \mapsto N, \dots$
  - Used in DEDUKTI

# THE $\lambda\Pi$ -CALCULUS MODULO REWRITING

$\lambda$ -calculus + dependent types + rewriting

$$x \mid \lambda x^A . M \mid M N \mid \text{Type} \mid \Pi x^A . B$$

# THE $\lambda\Pi$ -CALCULUS MODULO REWRITING

$\lambda$ -calculus + dependent types + rewriting

$$x \mid \lambda x^A . M \mid M N \mid \text{Type} \mid \Pi x^A . B$$

**Evaluation:**  $\beta$ -reduction and rewrite rules

## Example

$$\mathcal{R} = \begin{cases} x + 0 & \mapsto x \\ x + S(y) & \mapsto S(x) + y \end{cases}$$

# THE $\lambda\Pi$ -CALCULUS MODULO REWRITING

$\lambda$ -calculus + dependent types + rewriting

$$x \mid \lambda x^A . M \mid M N \mid \text{Type} \mid \Pi x^A . B$$

**Evaluation:**  $\beta$ -reduction and rewrite rules

## Example

$$\mathcal{R} = \begin{cases} x + 0 & \mapsto x \\ x + S(y) & \mapsto S(x) + y \end{cases}$$

**Typing:** modulo  $\beta$ -reduction and rewrite rules

$$\frac{\Gamma \vdash M : A \quad \dots \quad A \equiv_{\beta\mathcal{R}} B}{\Gamma \vdash M : B} \text{ CONV}$$

# THE POWER OF REWRITING

Smaller encodings

$$\frac{\overline{2+2=3+1} \qquad \begin{array}{c} \overline{3+1=4+0} \\ \overline{4+0=4} \end{array}}{2+2=4}$$

vs

$$\frac{\overline{4=4}}{2+2=4}$$

# THE POWER OF REWRITING

Smaller encodings

$$\frac{\frac{2+2=3+1}{\frac{3+1=4+0}{3+1=4}} \quad \frac{4+0=4}{4=4}}{2+2=4} \quad \text{vs} \quad \frac{4=4}{2+2=4}$$

Encodings of **more powerful** theories

- Pure type systems [Cousineau and Dowek 2007]

$$\Gamma \vdash_{\mathcal{P}} M : A \implies \Sigma_{\mathcal{P}}, [\![\Gamma]\!] \vdash_{\lambda\text{IIR}} [M] : [\![A]\!]$$

Calculus of constructions (CC), higher-order logic (HOL), ...

# THE POWER OF REWRITING

## Smaller encodings

$$\frac{\frac{2+2=3+1}{\frac{3+1=4+0}{\frac{3+1=4}{2+2=4}} \quad \frac{4+0=4}{}}{}}{\quad \text{vs} \quad \frac{4=4}{2+2=4}}$$

## Encodings of more powerful theories

- Pure type systems [Cousineau and Dowek 2007]

$$\Gamma \vdash_{\mathcal{P}} M : A \implies \Sigma_{\mathcal{P}}, [\![\Gamma]\!] \vdash_{\lambda\text{IRR}} [M] : [\![A]\!]$$

Calculus of constructions (CC), higher-order logic (HOL), ...

- Inductive types [Boespflug and Burel 2012]

# THE POWER OF REWRITING

## Smaller encodings

$$\frac{\frac{3 + 1 = 4 + 0 \quad 4 + 0 = 4}{3 + 1 = 4} \quad 2 + 2 = 3 + 1}{2 + 2 = 4} \qquad \text{vs} \qquad \frac{4 = 4}{2 + 2 = 4}$$

## Encodings of more powerful theories

- Pure type systems [Cousineau and Dowek 2007]

$$\Gamma \vdash_{\mathcal{P}} M : A \implies \Sigma_{\mathcal{P}}, [\![\Gamma]\!] \vdash_{\lambda\text{HIL}} [M] : [\![A]\!]$$

Calculus of constructions (CC), higher-order logic (HOL), ...

- Inductive types [Boespflug and Burel 2012]

---

HOL and Coq?

## PROBLEM I

$$\exists M. \Gamma \vdash M : A \implies \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!]$$

This is not enough!

## PROBLEM I

$$\exists M. \Gamma \vdash M : A \implies \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!]$$

This is not enough!

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!]$$

## PROBLEM I

$$\exists M. \Gamma \vdash M : A \implies \exists M'. \Sigma, [\Gamma] \vdash M' : [\![A]\!]$$

$$[\![A]\!] = \top$$

$$[M] = \top\text{-intro}$$

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\Gamma] \vdash M' : [\![A]\!]$$

## PROBLEM I

$$\exists M. \Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash \text{T-intro} : \text{T} \quad \checkmark$$

$$[A] = \top$$

$$[M] = \top\text{-intro}$$

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\Gamma] \vdash M' : [A]$$

## PROBLEM I

$$\exists M. \Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash \text{T-intro} : \text{T} \quad \checkmark$$

$$[A] = \top$$

$$[M] = \text{T-intro}$$

$$\exists M. \Gamma \vdash M : A \iff \Sigma, [\Gamma] \vdash \text{T-intro} : \text{T} \quad \times$$

## PROBLEM II

Cumulative universes

- Intuitionistic type theory (ITT)
- Calculus of inductive constructions (CIC)

$$\frac{\Gamma \vdash \text{Type}_i : \text{Type}_{i+1}}{\text{Type}_1 \in \text{Type}_2 \in \text{Type}_3 \in \dots}$$

## PROBLEM II

Cumulative universes

- Intuitionistic type theory (ITT)
- Calculus of inductive constructions (CIC)

$$\frac{\Gamma \vdash \text{Type}_i : \text{Type}_{i+1}}{\text{Type}_1 \in \text{Type}_2 \in \text{Type}_3 \in \dots}$$

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}}$$
$$\text{Type}_1 \subseteq \text{Type}_2 \subseteq \text{Type}_3 \subseteq \dots$$

## CONTRIBUTIONS

- **Prove** that the embedding is **conservative**

$$\Gamma \vdash M : A \iff \Sigma, [\![\Gamma]\!] \vdash [M] : [\![A]\!]$$

- **Extend** the embedding to **cumulative systems**

$$\text{Type}_0 \subseteq \text{Type}_1 \subseteq \text{Type}_2 \subseteq \dots$$

- **Implement** the translation of the proofs of **HOL**, **Coq**, and **Matita** into Dedukti

## EMBEDDING PURE TYPE SYSTEMS

---

# PURE TYPE SYSTEMS

$$x \mid \lambda x^A . M \mid M N \mid s \mid \Pi x^A . B$$

# PURE TYPE SYSTEMS

$$x \mid \lambda x^A . M \mid MN \mid s \mid \Pi x^A . B$$

**Typing:** parameterized by a specification  $(\mathcal{S}, \mathcal{A}, \mathcal{R})$

- $\mathcal{S}$  set of sorts (a.k.a. universes)
- $\mathcal{A} \subseteq \mathcal{S} \times \mathcal{S}$
- $\mathcal{R} \subseteq \mathcal{S} \times \mathcal{S} \times \mathcal{S}$

$$\frac{(s_1, s_2) \in \mathcal{A} \quad \Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2 \quad (s_1, s_2, s_3) \in \mathcal{R}}{\vdash s_1 : s_2 \qquad \qquad \qquad \Gamma \vdash \Pi x^A . B : s_3}$$

# PURE TYPE SYSTEMS

$$x \mid \lambda x^A . M \mid MN \mid s \mid \Pi x^A . B$$

**Typing:** parameterized by a specification  $(\mathcal{S}, \mathcal{A}, \mathcal{R})$

- $\mathcal{S}$  set of sorts (a.k.a. universes)
- $\mathcal{A} \subseteq \mathcal{S} \times \mathcal{S}$
- $\mathcal{R} \subseteq \mathcal{S} \times \mathcal{S} \times \mathcal{S}$

$$\frac{(s_1, s_2) \in \mathcal{A} \quad \Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2 \quad (s_1, s_2, s_3) \in \mathcal{R}}{\vdash s_1 : s_2 \qquad \qquad \qquad \Gamma \vdash \Pi x^A . B : s_3}$$

**Example (Induction principle in the calculus of constructions)**

$$\Pi p^{(\mathbb{N} \rightarrow \text{Prop})} . p 0 \rightarrow (\Pi n^{\mathbb{N}} . p n \rightarrow p(S n)) \rightarrow \Pi n^{\mathbb{N}} . p n$$

## LIMITATIONS OF $\lambda\Pi$

Traditional embeddings in  $\lambda\Pi$  do not preserve reduction:

$$M \xrightarrow{\text{orange}} M' \quad \not\Rightarrow \quad [M] \xrightarrow{\text{orange}} [M']$$

## LIMITATIONS OF $\lambda\Pi$

Traditional embeddings in  $\lambda\Pi$  do not preserve reduction:

$$M \longrightarrow M' \quad \not\Rightarrow \quad [M] \longrightarrow [M']$$

### Example

$$[(\lambda x^C.x) y] = \text{app } [C] [C] (\text{lam } [C] [C] (\lambda x^{[C]}.x)) y \not\rightarrow y$$

## LIMITATIONS OF $\lambda\Pi$

Traditional embeddings in  $\lambda\Pi$  do not preserve equivalence:

$$M \equiv M' \not\Rightarrow [M] \equiv [M']$$

### Example

$$[(\lambda x^C.x) y] = \text{app } [C] [C] (\text{lam } [C] [C] (\lambda x^{[C]}.x)) y \not\rightarrow y$$

## LIMITATIONS OF $\lambda\Pi$

Traditional embeddings in  $\lambda\Pi$  do not preserve equivalence:

$$M \equiv M' \not\Rightarrow [M] \equiv [M']$$

### Example

$$[(\lambda x^C.x)y] = \text{app } [C] [C] (\text{lam } [C] [C] (\lambda x^{[C]}.x)) y \not\rightarrow y$$

This is a problem for computational systems:

$$\frac{\Gamma \vdash A \quad A \equiv B}{\Gamma \vdash B} \text{ CONV}$$

## LIMITATIONS OF $\lambda\text{II}$

Traditional embeddings in  $\lambda\text{II}$  do not preserve equivalence:

$$M \equiv M' \not\Rightarrow [M] \equiv [M']$$

### Example

$$[(\lambda x^C.x)y] = \text{app } [C] [C] (\text{lam } [C] [C] (\lambda x^{[C]}.x)) y \not\rightarrow y$$

This is a problem for computational systems:

$$\frac{\Gamma \vdash A \quad A \equiv B}{\Gamma \vdash B} \text{ CONV}$$

- Calculus of constructions (CC)  $\times$
- Intuitionistic type theory (ITT)  $\times$
- Calculus of inductive constructions (CIC)  $\times$

## USING REWRITING [COUSINEAU AND DOWEK 2007]

$$\begin{array}{lcl} [x] & = & x \\ [M \ N] & = & [M] \ [N] \\ [\lambda x^A . \ M] & = & \lambda x^{[\![A]\!]} . \ [M] \end{array}$$

$$\begin{array}{lcl} [\![s]\!] & = & U_s \\ [\![\Pi x^A . \ B]\!] & = & \Pi x^{[\![A]\!]} . \ [\![B]\!] \end{array}$$

## USING REWRITING [COUSINEAU AND DOWEK 2007]

$$[x] = x$$

$$[M \ N] = [M] \ [N]$$

$$[\lambda x^A . M] = \lambda x^{[\![A]\!]} . [M]$$

$$[s] = u_s$$

$$[\Pi x^A . B] = \pi_{s_1, s_2} [A] (\lambda x : [\![A]\!]. [B])$$

$$[\![s]\!] = u_s$$

$$[\![\Pi x^A . B]\!] = \Pi x^{[\![A]\!]} . [\![B]\!]$$

## USING REWRITING [COUSINEAU AND DOWEK 2007]

$$[x] = x$$

$$[M \ N] = [M] \ [N]$$

$$[\lambda x^A . M] = \lambda x^{[\![A]\!]} . [M]$$

$$[s] = u_s$$

$$[\Pi x^A . B] = \pi_{s_1, s_2} [A] (\lambda x : [\![A]\!]. [B])$$

$$[\![s]\!] = u_s$$

$$[\![\Pi x^A . B]\!] = \Pi x^{[\![A]\!]} . [\![B]\!]$$

$$[\![M]\!] = T_s [M]$$

## USING REWRITING [COUSINEAU AND DOWEK 2007]

$$\begin{array}{ccl} [x] & = & x \\ [M \ N] & = & [M] \ [N] \\ [\lambda x^A . M] & = & \lambda x^{[\![A]\!]} . [M] \\ [s] & = & u_s \\ [\Pi x^A . B] & = & \pi_{s_1, s_2} [A] \ (\lambda x : [\![A]\!]. [B]) \end{array}$$

$$\begin{array}{ccl} [\![s]\!] & = & U_s \\ [\![\Pi x^A . B]\!] & = & \Pi x^{[\![A]\!]} . [\![B]\!] \\ [\![M]\!] & = & T_s [M] \end{array}$$

$$\begin{array}{ccc} T_{s_2} \ U_{s_1} & \mapsto & U_{s_1} \\ T_{s_3} \ (\pi_{s_1, s_2} \ a \ b) & \mapsto & \Pi x^{T_{s_1} \ a} . T_{s_2} \ (b \ x) \end{array}$$

## PRESERVATION OF TYPING [COUSINEAU AND DOWEK 2007]

Theorem (Preservation of typing)

$$\Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash [M] : [\![A]\!]$$

## PRESERVATION OF TYPING [COUSINEAU AND DOWEK 2007]

Theorem (Preservation of equivalence)

$$M \equiv M' \implies [M] \equiv [M']$$

Theorem (Preservation of typing)

$$\Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash [M] : [\![A]\!]$$

## PRESERVATION OF TYPING [COUSINEAU AND DOWEK 2007]

Theorem (Preservation of reduction)

$$M \rightarrow^+ M' \implies [M] \rightarrow^+ [M']$$

Theorem (Preservation of equivalence)

$$M \equiv M' \implies [M] \equiv [M']$$

Theorem (Preservation of typing)

$$\Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash [M] : [\![A]\!]$$

## CONSERVATIVITY

---

## TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

## TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

Polymorphic identity function:

$$I = \lambda \alpha^{\text{Type}} . \lambda x^\alpha . x$$

## TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

Polymorphic identity function:

$$I = \lambda \alpha^{\text{Type}} . \lambda x^\alpha . x$$

$$\not\vdash_{\text{HOL}} I : \_$$

## TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

Polymorphic identity function:

$$I = \lambda \alpha^{\text{Type}} . \lambda x^\alpha . x$$

$$\not\vdash_{\text{HOL}} I : \_$$

$$\vdash_{\text{u-}} I : \Pi \alpha^{\text{Type}} . \alpha \rightarrow \alpha$$

# TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

Polymorphic identity function:

$$I = \lambda \alpha^{\text{Type}} . \lambda x^\alpha . x$$

$$\not\vdash_{\text{HOL}} I : \_$$

$$\vdash_{\text{u-}} I : \Pi \alpha^{\text{Type}} . \alpha \rightarrow \alpha$$

$$\Sigma_{\text{HOL}} \vdash_{\text{MPIR}} [I] : \Pi \alpha^{\text{U}_{\text{Type}}} . \text{T} \alpha \rightarrow \text{T} \alpha$$

# TYPING IN THE EMBEDDING VS. IN THE PTS

Question:

$$\exists M. \Gamma \vdash M : A \iff \exists M'. \Sigma, [\![\Gamma]\!] \vdash M' : [\![A]\!] ?$$

Polymorphic identity function:

$$I = \lambda \alpha^{\text{Type}} . \lambda x^\alpha . x$$

$$\not\vdash_{\text{HOL}} I : \_$$

$$\vdash_{\text{u-}} I : \Pi \alpha^{\text{Type}} . \alpha \rightarrow \alpha$$

$$\Sigma_{\text{HOL}} \vdash_{\lambda \Pi \text{R}} [I] : \Pi \alpha^{\text{U}_{\text{Type}}} . \top \alpha \rightarrow \top \alpha$$



# ALERT



$\exists M. \vdash_{\text{u-}} M : \perp !$

# ALERT



$\exists M. \vdash_{\text{u-}} M : \perp !$

$\exists M. \Sigma_{\text{HOL}} \vdash_{\text{λΠΠ}} M : \llbracket \perp \rrbracket ?$

## TRADITIONAL PROOFS OF CONSERVATIVITY

Given:  $\Sigma_{\mathcal{P}}, \llbracket \Gamma \rrbracket \vdash_{\lambda\text{II}} M : \llbracket A \rrbracket$

## TRADITIONAL PROOFS OF CONSERVATIVITY

Given:  $\Sigma_P, \llbracket \Gamma \rrbracket \vdash_{\lambda\text{II}} M : \llbracket A \rrbracket$

1. Every well-typed term normalizes
2. Every normal term is the translation of a proof

## TRADITIONAL PROOFS OF CONSERVATIVITY

Given:  $\Sigma_{\mathcal{P}}, \llbracket \Gamma \rrbracket \vdash_{\lambda\text{II}} M : \llbracket A \rrbracket$

1. Every well-typed term normalizes
2. Every normal term is the translation of a proof

Get:  $\Gamma \vdash_{\mathcal{P}} N' : A$

## TRADITIONAL PROOFS OF CONSERVATIVITY

Given:  $\Sigma_{\mathcal{P}}, \llbracket \Gamma \rrbracket \vdash_{\textcolor{brown}{\texttt{IIR}}} M : \llbracket A \rrbracket$

1. Every well-typed term normalizes
2. Every normal term is the translation of a proof

Get:  $\Gamma \vdash_{\mathcal{P}} N' : A$

## TRADITIONAL PROOFS OF CONSERVATIVITY

Given:  $\Sigma_{\mathcal{P}}, \llbracket \Gamma \rrbracket \vdash_{\lambda\text{IIR}} M : \llbracket A \rrbracket$

1. Every well-typed term normalizes ?
2. Every normal term is the translation of a proof

Get:  $\Gamma \vdash_{\mathcal{P}} N' : A$

## RELATIVE NORMALIZATION

Preservation of computation:

$$M_1 \rightarrow\!\!\!\rightarrow M_2 \rightarrow\!\!\!\rightarrow M_3 \rightarrow\!\!\!\rightarrow \dots$$

$$[M_1] \rightarrow\!\!\!\rightarrow [M_2] \rightarrow\!\!\!\rightarrow [M_3] \rightarrow\!\!\!\rightarrow \dots$$

## RELATIVE NORMALIZATION

Preservation of computation:

$$M_1 \rightarrow\!\!\!\rightarrow M_2 \rightarrow\!\!\!\rightarrow M_3 \rightarrow\!\!\!\rightarrow \dots$$

$$[M_1] \rightarrow\!\!\!\rightarrow [M_2] \rightarrow\!\!\!\rightarrow [M_3] \rightarrow\!\!\!\rightarrow \dots$$

Proving strong normalization for  $[M]$  is at least as hard as for  $M$ !

- $\text{CC}^\infty$ ? Brrr...

## RELATIVE NORMALIZATION

Preservation of computation:

$$M_1 \rightarrow\!\!\!\rightarrow M_2 \rightarrow\!\!\!\rightarrow M_3 \rightarrow\!\!\!\rightarrow \dots$$

$$[M_1] \rightarrow\!\!\!\rightarrow [M_2] \rightarrow\!\!\!\rightarrow [M_3] \rightarrow\!\!\!\rightarrow \dots$$

Proving strong normalization for  $[M]$  is at least as hard as for  $M$ !

- CC $^\infty$ ? Brrr...



Idea: reduce only what is necessary

### Relative normalization

If  $\Sigma_P, [\Gamma] \vdash M : [A]$  then  $\exists M'$  such that  $M \longrightarrow^* [M']$  and  $\Gamma \vdash M' : A$ .

## REDUCIBILITY

Proof case:

$$\frac{\Sigma, \llbracket \Gamma \rrbracket \vdash M : \Pi x^B . C \quad \Sigma, \llbracket \Gamma \rrbracket \vdash N : B}{\Sigma, \llbracket \Gamma \rrbracket \vdash MN : \llbracket A \rrbracket} \quad \text{where } C \{x \setminus N\} = \llbracket A \rrbracket$$

# REDUCIBILITY

Proof case:

$$\frac{\Sigma, [\Gamma] \vdash M : \Pi x^B . C \quad \Sigma, [\Gamma] \vdash N : B}{\Sigma, [\Gamma] \vdash MN : [A]} \quad \text{where } C\{x \setminus N\} = [A]$$

Need a stronger induction!

## Definition

Reducibility relation

$$\Vdash M : C$$

such that

$$\Vdash M : [A] \iff \exists M'. M \rightarrow^* [M'] \wedge M' : A$$

$$\Vdash M : \Pi x^B . C \iff \forall N. \Vdash N : B \implies \Vdash MN : C\{x \setminus N\}$$

## Theorem (Reducibility)

$$\Sigma_{\mathcal{P}}, \Delta \vdash_{\lambda\text{IIR}} M : C \implies \Delta \Vdash_{\mathcal{P}} M : C$$

## CONSERVATIVITY

Theorem (Reducibility)

$$\Sigma_{\mathcal{P}}, \Delta \vdash_{\lambda\text{IIR}} M : C \implies \Delta \Vdash_{\mathcal{P}} M : C$$

Theorem (Conservativity)

$$\Sigma_{\mathcal{P}}, [\![\Gamma]\!] \vdash_{\lambda\text{IIR}} M : [\![A]\!] \implies \exists M'. M \longrightarrow^* [M'] \wedge \Gamma \vdash_{\mathcal{P}} M' : A$$

# CONSERVATIVITY

## Theorem (Reducibility)

$$\Sigma_{\mathcal{P}}, \Delta \vdash_{\lambda\text{IIR}} M : C \implies \Delta \Vdash_{\mathcal{P}} M : C$$

## Theorem (Conservativity)

$$\Sigma_{\mathcal{P}}, [\Gamma] \vdash_{\lambda\text{IIR}} M : [A] \implies \exists M'. M \longrightarrow^* [M'] \wedge \Gamma \vdash_{\mathcal{P}} M' : A$$

- Equivalence of type inhabitation ✓

$$\exists M'. \Gamma \vdash_{\mathcal{P}} M' : A \iff \exists M'. \Sigma_{\mathcal{P}}, [\Gamma] \vdash_{\lambda\text{IIR}} M : [A]$$

- Soundness of the embedding ✓

$$\nexists M. \Sigma_{\text{HOL}} \vdash_{\lambda\text{IIR}} M : [\perp]$$

## SUMMARY

- Have a **general embedding** of pure type systems in the  $\lambda\Pi$ -calculus modulo rewriting
- The embedding preserves **typing** and **computation**
- Proved that it is **conservative** using relative normalization

## SUMMARY

- Have a **general embedding** of pure type systems in the  $\lambda\Pi$ -calculus modulo rewriting
- The embedding preserves **typing** and **computation**
- Proved that it is **conservative** using relative normalization
  - Works for **all** normalizing systems: System F, CC, HOL, ...

## SUMMARY

- Have a **general embedding** of pure type systems in the  $\lambda\Pi$ -calculus modulo rewriting
- The embedding preserves **typing** and **computation**
- Proved that it is **conservative** using relative normalization
  - Works for **all** normalizing systems: System F, CC, HOL, ...
  - Works for all **non-normalizing** systems: U,  $U^-$ ,  $\lambda^*$ , ...

## CUMULATIVITY

---

## CHALLENGES OF CUMULATIVITY

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}} \quad \text{Type}_1 \subseteq \text{Type}_2 \subseteq \text{Type}_3 \subseteq \dots$$

## CHALLENGES OF CUMULATIVITY

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}} \quad \text{Type}_1 \subseteq \text{Type}_2 \subseteq \text{Type}_3 \subseteq \dots$$

- No uniqueness of types

### Example

$\vdash \text{Type}_0 : \text{Type}_1$  and  $\vdash \text{Type}_0 : \text{Type}_2$

## CHALLENGES OF CUMULATIVITY

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}} \quad \text{Type}_1 \subseteq \text{Type}_2 \subseteq \text{Type}_3 \subseteq \dots$$

- No uniqueness of types

### Example

$\vdash \text{Type}_0 : \text{Type}_1$  and  $\vdash \text{Type}_0 : \text{Type}_2$

- Principal types...

## CHALLENGES OF CUMULATIVITY

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}} \quad \text{Type}_1 \subseteq \text{Type}_2 \subseteq \text{Type}_3 \subseteq \dots$$

- No uniqueness of types

### Example

$$\vdash \text{Type}_0 : \text{Type}_1 \quad \text{and} \quad \vdash \text{Type}_0 : \text{Type}_2$$

- Principal types not preserved by reduction

### Example

$$\begin{aligned} \vdash & (\lambda x^{\text{Type}_2}. x) \text{ Type}_0 : \text{Type}_2 \\ & \downarrow_{\beta} \\ \vdash & \text{Type}_0 : \text{Type}_1 \end{aligned}$$

## EMBEDDING CUMULATIVITY



Idea: use explicit casts

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash \uparrow_i A : \text{Type}_{i+1}}$$

# EMBEDDING CUMULATIVITY



Idea: use explicit casts

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash \uparrow_i A : \text{Type}_{i+1}}$$

$$\begin{array}{lcl} u_i & : & U_{i+1} \\ \pi_i & : & \Pi a^{U_i} . \Pi b^{(T_i a \rightarrow U_i)} . U_i \end{array}$$

$$\begin{array}{lll} T_{i+1} u_i & \mapsto & U_i \\ T_i (\pi_i a b) & \mapsto & \Pi x^{T_i a} . T_i (b x) \end{array}$$

# EMBEDDING CUMULATIVITY



Idea: use explicit casts

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash \uparrow_i A : \text{Type}_{i+1}}$$

$$\begin{aligned} u_i &: U_{i+1} \\ \pi_i &: \Pi a^{U_i} . \Pi b^{(T_i a \rightarrow U_i)} . U_i \\ \uparrow_i &: U_i \rightarrow U_{i+1} \end{aligned}$$

$$\begin{aligned} T_{i+1} u_i &\mapsto U_i \\ T_i (\pi_i a b) &\mapsto \Pi x^{T_i a} . T_i (b x) \end{aligned}$$

# EMBEDDING CUMULATIVITY



Idea: use explicit casts

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash \uparrow_i A : \text{Type}_{i+1}}$$

$$\begin{aligned} u_i &: U_{i+1} \\ \pi_i &: \Pi a^{U_i} . \Pi b^{(\mathsf{T}_i a \rightarrow U_i)} . U_i \\ \uparrow_i &: U_i \rightarrow U_{i+1} \end{aligned}$$

$$\begin{aligned} \mathsf{T}_{i+1} u_i &\mapsto U_i \\ \mathsf{T}_i (\pi_i a b) &\mapsto \Pi x^{\mathsf{T}_i a} . \mathsf{T}_i (b x) \\ \mathsf{T}_{i+1} (\uparrow_i a) &\mapsto \mathsf{T}_i a \end{aligned}$$

# EMBEDDING CUMULATIVITY



Idea: use explicit casts (Martin-Löf 1984)

$$\frac{\Gamma \vdash A : \text{Type}_i}{\Gamma \vdash \uparrow_i A : \text{Type}_{i+1}}$$

$$\begin{aligned} u_i &: U_{i+1} \\ \pi_i &: \Pi a^{U_i} . \Pi b^{(\mathsf{T}_i a \rightarrow U_i)} . U_i \\ \uparrow_i &: U_i \rightarrow U_{i+1} \end{aligned}$$

$$\begin{aligned} \mathsf{T}_{i+1} u_i &\mapsto U_i \\ \mathsf{T}_i (\pi_i a b) &\mapsto \Pi x^{\mathsf{T}_i a} . \mathsf{T}_i (b x) \\ \mathsf{T}_{i+1} (\uparrow_i a) &\mapsto \mathsf{T}_i a \end{aligned}$$

## MULTIPLICITY OF DERIVATIONS

$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\begin{array}{c} \Gamma \vdash \Pi x^A . B : \text{Type}_i \\ \hline \Gamma \vdash \Pi x^A . B : \text{Type}_{i+1} \end{array}}$$

## MULTIPLICITY OF DERIVATIONS

$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\frac{\Gamma \vdash \Pi x^A . B : \text{Type}_i}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}}$$

$$\frac{\begin{array}{c} \Gamma \vdash A : \text{Type}_i \\ \hline \Gamma \vdash A : \text{Type}_{i+1} \end{array} \quad \begin{array}{c} \Gamma, x : A \vdash B : \text{Type}_i \\ \hline \Gamma, x : A \vdash B : \text{Type}_{i+1} \end{array}}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}$$

# MULTIPLICITY OF DERIVATIONS

$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\frac{\Gamma \vdash \Pi x^A . B : \text{Type}_i}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}} \uparrow_i (\pi_i A (\lambda x . B))$$

$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\frac{\Gamma \vdash A : \text{Type}_{i+1} \quad \Gamma, x : A \vdash B : \text{Type}_{i+1}}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}} \pi_{i+1} (\uparrow_i A) (\lambda x . \uparrow_i B)$$

# MULTIPLICITY OF DERIVATIONS

$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\frac{\Gamma \vdash \Pi x^A . B : \text{Type}_i}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}} \quad \uparrow_i (\pi_i A (\lambda x . B))$$
$$\neq$$
$$\frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_i}{\frac{\Gamma \vdash A : \text{Type}_{i+1} \quad \Gamma, x : A \vdash B : \text{Type}_{i+1}}{\Gamma \vdash \Pi x^A . B : \text{Type}_{i+1}}} \quad \pi_{i+1} (\uparrow_i A) (\lambda x . \uparrow_i B)$$

# INCOMPLETENESS OF NAIVE CASTS

## Counter-example

In the context

$$\begin{aligned} p &: \text{Type}_1 \rightarrow \text{Type}_1, \\ f &: \Pi c^{\text{Type}_0}. p c \rightarrow \perp, \\ g &: \Pi a^{\text{Type}_1}. \Pi b^{\text{Type}_1}. p (\Pi x^a. b) \\ a, b &: \text{Type}_0, \end{aligned}$$

we have

$$f(\Pi x^a. b) (g a b) : \perp$$

# INCOMPLETENESS OF NAIVE CASTS

## Counter-example

In the context

$$\begin{aligned} p &: U_{\text{Type}_1} \rightarrow U_{\text{Type}_1}, \\ f &: \Pi c^{U_{\text{Type}_0}} . p c \rightarrow \perp, \\ g &: \Pi a^{U_{\text{Type}_1}} . \Pi b^{U_{\text{Type}_1}} . p (\pi_{\text{Type}_1} a (\lambda x. b)) \\ a, b &: U_{\text{Type}_1}, \end{aligned}$$

we have

$$f(\pi_0 a (\lambda x. b)) (g (\uparrow_0 a) (\uparrow_0 b)) \not\approx \perp \quad \textcolor{red}{X}$$

# INCOMPLETENESS OF NAIVE CASTS

## Counter-example

In the context

$$\begin{aligned} p &: U_{\text{Type}_1} \rightarrow U_{\text{Type}_1}, \\ f &: \Pi c^{U_{\text{Type}_0}} . p c \rightarrow \perp, \\ g &: \Pi a^{U_{\text{Type}_1}} . \Pi b^{U_{\text{Type}_1}} . p (\pi_{\text{Type}_1} a (\lambda x. b)) \\ a, b &: U_{\text{Type}_1}, \end{aligned}$$

we have

$$\begin{aligned} f(\pi_0 a (\lambda x. b)) &: T_1(p(\uparrow_0 (\pi_0 a (\lambda x. b)))) \rightarrow \perp \\ &\not\equiv \\ g(\uparrow_0 a) (\uparrow_0 b) &: T_1(p(\pi_1(\uparrow_0 a) (\lambda x. \uparrow_0 b))) \end{aligned}$$

## RECOVERING COMPLETENESS

**Need:** uniqueness of the representation of types as terms

## RECOVERING COMPLETENESS

**Need:** uniqueness of the representation of types as terms

**Solution:** add equations

$$\pi_{i+1}(\uparrow_i a)(\lambda x. \uparrow_i(bx)) \equiv \uparrow_i(\pi_i a(\lambda x. bx))$$

## RECOVERING COMPLETENESS

**Need:** uniqueness of the representation of types as terms

**Solution:** add rewrite rules

$$\pi_{i+1} (\uparrow_i a) (\lambda x. \uparrow_i (bx)) \xrightarrow{\text{orange arrow}} \uparrow_i (\pi_i a (\lambda x. bx))$$

## RECOVERING COMPLETENESS

**Need:** uniqueness of the representation of types as terms

**Solution:** add rewrite rules

$$\pi_{i+1} (\uparrow_i a) (\lambda x. \uparrow_i (bx)) \rightarrow \uparrow_i (\pi_i a (\lambda x. bx))$$

Requires higher-order rewriting [Saillard 2015]

## RECOVERING COMPLETENESS

Theorem (Preservation of substitution)

$$[M \{x \setminus N\}] \equiv [M] \{x \setminus [N]\}$$

## RECOVERING COMPLETENESS

Theorem (Preservation of substitution)

$$[M \{x \setminus N\}] \equiv [M] \{x \setminus [N]\}$$

Theorem (Preservation of equivalence)

$$M \equiv N \implies [M] \equiv [N]$$

## RECOVERING COMPLETENESS

Theorem (Preservation of substitution)

$$[M \{x \setminus N\}] \equiv [M] \{x \setminus [N]\}$$

Theorem (Preservation of equivalence)

$$M \equiv N \implies [M] \equiv [N]$$

Theorem (Preservation of typing)

$$\Gamma \vdash M : A \implies \Sigma, [\Gamma] \vdash [M] : [\![A]\!]$$

## SUMMARY

- Extended the embedding to systems with cumulativity by using **explicit casts**
- Added equations to guarantee **uniqueness of term representation**
- Can be adapted to **impredicative universes** (Prop)

## IMPLEMENTATIONS

---

# Holide

<https://www.rocq.inria.fr/deducteam/Holide/>

- HOL In DEdukti

HOL4

HOL Light

HOL Zero

ProofPower

Isabelle/HOL

# Holide

<https://www.rocq.inria.fr/deducteam/Holide/>

- HOL In DEdukti
- Using the OpenTheory format

# Holide

<https://www.rocq.inria.fr/deducteam/Holide/>

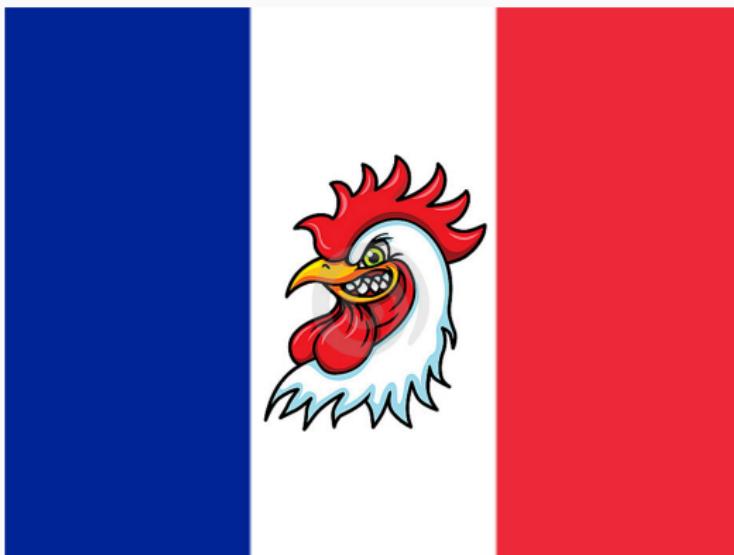
- HOL In DEdukti
- Using the OpenTheory format
- Translation of the standard library

	<b>Compressed size (KB)</b>		<b>Time (s)</b>	
	OpenTheory	Dedukti	Translation	Verification
<b>Total</b>	1702	4877	40	22

# Coqine

<https://gforge.inria.fr/projects/coqine/>

- COQ IN dEdukti



# Coqine

<https://gforge.inria.fr/projects/coqine/>

- COQ IN dEdukti
- Version 1.0 by Boespflug and Burel (2012)
  - Inductive types, modules ✓
  - Type : Type ✗

# Coqine

<https://gforge.inria.fr/projects/coqine/>

- COQ IN dEdukti
- Version 1.0 by Boespflug and Burel (2012)
  - Inductive types, modules ✓
  - Type : Type ✗
- Version 2.0
  - $\text{Type}_i : \text{Type}_{i+1}$  ✓

# Coqine

<https://gforge.inria.fr/projects/coqine/>

- COQ IN dEdukti
- Version 1.0 by Boespflug and Burel (2012)
  - Inductive types, modules ✓
  - Type : Type ✗
- Version 2.0
  - Type<sub>i</sub> : Type<sub>i+1</sub> ✓
  - Universe polymorphism ✗
  - Anonymous fixpoints ✗
  - Functors ✗

# Coqine

<https://gforge.inria.fr/projects/coqine/>

- COQ IN dEdukti
- Version 1.0 by Boespflug and Burel (2012)
  - Inductive types, modules ✓
  - Type : Type ✗
- Version 2.0
  - Type<sub>i</sub> : Type<sub>i+1</sub> ✓
  - Universe polymorphism ✗
  - Anonymous fixpoints ✗
  - Functors ✗
- Interoperability with HOL (A. & Cauderlier 2015)

# Krajono

<https://gforge.inria.fr/projects/krajono/>

- Matita in Dedukti



# Krajono

<https://gforge.inria.fr/projects/krajono/>

- Matita in Dedukti
- Features:
  - No universe polymorphism ✓
  - No anonymous fixpoints ✓
  - No modules ✓

# Krajono

<https://gforge.inria.fr/projects/krajono/>

- Matita in Dedukti
- Features:
  - No universe polymorphism ✓
  - No anonymous fixpoints ✓
  - No modules ✓
  - Proof irrelevance ✗

# Krajono

<https://gforge.inria.fr/projects/krajono/>

- Matita in Dedukti
- Features:
  - No universe polymorphism ✓
  - No anonymous fixpoints ✓
  - No modules ✓
  - Proof irrelevance ✗
- Translation of the `arithmetics` library

	Compiled size (KB)		Time (s)	
	Matita	Dedukti	Matita	Dedukti
Total	3120	11955	438	1412

## LESSONS LEARNED

- There is a wide **gap between theory and practice**
- It can be very hard to obtain **usable proof objects**
- We need support for **well-specified proof formats**
  - OPEN THEORY [Hurd 2011]
  - LΞVN [De Moura 2015]

## CONCLUSION

---

## SUMMARY

- Using the  $\lambda\text{II}$ -calculus modulo as a logical framework for **independent proof checking** and **proof interoperability**
- Embedding of computational higher-order logics that is **sound** and **complete**
- Implementation of automated proof translations:  
HOL, Coq, and MATITA

## Translations

- Functors (Coq)
- Local fixpoints (Coq)
- Universe polymorphism (Coq)
- Proof irrelevance (Matita)
- Intersection type systems?

## Translations

- Functors (Coq)
- Local fixpoints (Coq)
- Universe polymorphism (Coq)
- Proof irrelevance (Matita)
- Intersection type systems?

## Interoperability





Gotta catch 'em all!

Gotta catch 'em all!



# THANK YOU!

Gotta catch 'em all!



ALA, ANDREA, BRIGITTE, CATERINA, CATHERINE, DALE, THE DEDUCTEAMMATES, ERIK, ESMERALDA, FELICITY, FRANCESCA, GILLES, GUILLAUME, HANANE, HERMAN, HUGO, JOHN, JULIA, OLIVIER, THE PARISIANS, RIQUET, ROGER, THE STOCKHOLM UNIVERSITY LOGICIANS, YGRITTE, ZAK

## BIBLIOGRAPHY |

-  Ali Assaf and Guillaume Burel.  
**Translating HOL to Dedukti.**  
In *Proceedings of PxTP*, 2015.
-  Ali Assaf and Raphaël Cauderlier.  
**Mixing HOL and Coq in Dedukti (Extended Abstract).**  
In *Proceedings of PxTP*, 2015.
-  Ali Assaf.  
**A calculus of constructions with explicit subtyping.**  
In *Proceedings of TYPES*, 2014.
-  Ali Assaf.  
**Conservativity of embeddings in the lambda-Pi calculus modulo rewriting.**  
In *Proceedings of TLCA*, 2015.

## BIBLIOGRAPHY II

-  Henk Barendregt.  
**Lambda calculi with types.**  
Oxford University Press, 1992.
-  Denis Cousineau and Gilles Dowek.  
**Embedding pure type systems in the  $\lambda\pi$ -calculus modulo.**  
In *Proceedings of TLCA*, 2007.
-  Robert Harper, Furio Honsell, and Gordon Plotkin.  
**A framework for defining logics.**  
*Journal of the ACM*, 1993.
-  Per Martin-Löf and Giovanni Sambin.  
**Intuitionistic type theory.**  
Bibliopolis Naples, 1984.